## Chapter 9. PUBLIC KEY CRYPTOGRAPHY AND

## RSA

Public key cryptography approach can be depicted by Figure 9.1:

(a) Encryption

(b) Authentication

Figure 9.1 Public-Key Cryptography
The concept of public cryptography evolved from an attempt to attack two of the most difficult problems associated with symmetric encryption. The first problem concerns key distribution. The second problem is the problem of "digital signatures" (authentication). Whitfield Diffie and Martin

## PUBLIC KEY CRYPTOGRAPHY AND RSA (CONT 1)

Hellman, US cryptologists, invented in 1976 a method that addressed both problems, and that was radically different from all previous approaches to cryptography, going back over four millennia.

## Applications of Public-Key Cryptosystems

Encryption/decryption
Digital signature
Key exchange

Table 9.2 Applications for Public-Key Cryptosystems

| Algorithm | Encryption/Decryption | Digital Signature | Key Exchange |
| :---: | :---: | :---: | :---: |
| RSA | Yes | Yes | Yes |
| Elliptic Curve | Yes | Yes | Yes |
| Diffie-Hellman | No | No | Yes |
| DSS | No | Yes | No |

## Requirements for Public-Key Cryptography

1. It is computationally easy for a party B to generate a pair (public key KUb , private key KRb)
2. It is computationally easy for a sender A, knowing the public key and the message M to be encrypted, to generate corresponding ciphertext:

$$
\mathrm{C}=\mathrm{E}_{\mathrm{KUb}}(\mathrm{M})
$$

3. It is computationally easy for the receiver B to decrypt the resulting ciphertext using the private key:

$$
\mathrm{M}=\mathrm{D}_{\text {KRb }}(\mathrm{C})=\mathrm{D}_{\text {KRb }}\left(\mathrm{E}_{\mathrm{KUb}}(\mathrm{M})\right)
$$

4. It is computationally infeasible for an opponent, knowing the public key KUb to determine the private key KRb
5. It is computationally infeasible for an opponent, knowing the public key KUb and a ciphertext C to recover original message M .
Requirements for public-key cryptography can be met if to discover trapdoor one-way functions, which are defined as follows:
A trap-door one-way function is a family of invertible functions fk , such that:
$\mathrm{Y}=\mathrm{fk}(\mathrm{X})$ easy, if k and X are known

## Requirements for Public-Key Cryptography (Cont 1)

$\mathrm{X}=\mathrm{fk}^{-1}(\mathrm{Y})$ easy, if k and Y are known
$\mathrm{X}=\mathrm{fk}^{-1}(\mathrm{Y})$ infeasible, if Y is known but k is not known

## Public-Key Cryptanalysis

It is vulnerable to brute force attack -> use large keys.
Another form of attack is to find some way to compute the private key given the public key. To date, it has not been mathematically proven that this form of attack is infeasible for a particular public-key algorithm. Thus, any given algorithm, including the widely used RSA algorithm, is suspect.
Finally, there is a form of attack that is peculiar to public-key systems. This is, in essence, a probable-message attack. Suppose, for example, that a message were to be sent that consisted solely of a 56 -bit DES key. An opponent could encrypt all possible keys using the public key and could decipher any message by matching the transmitted ciphertext. Thus, no matter how large the key size of the public-key scheme, the attack is reduced to a brute-force attack on a 56 -bit key. This attack can be thwarted by appending some random bits to such simple messages.

## The RSA Algorithm

It was developed in 1977 by Ron Rivest, Adi Shamir, and Len Adleman at MIT and first published in 1978. The Rivest-Shamir-Adleman (RSA) has since that time reigned supreme as most widely accepted and implemented general-purpose approach to public-key encryption.

## Description of the Algorithm

RSA makes use of an expression with exponentials. Plaintext is encrypted in blocks, with each block having a binary value less than some integer $n$. That is, the block size must be less or equal to $\log _{2} n$; in practice, the block size is k bits, where $2^{k}<n \leq 2^{k+1}$. Encryption and decryption are of the following form, for some plaintext block M and ciphertext block C:
$C=M^{e} \bmod n$
$M=C^{d} \bmod n=\left(M^{e}\right)^{d} \bmod n=M^{e d} \bmod n$
Both sender and receiver must know the value of n . The sender knows the value of $e$, and only receiver knows the value of $d$. Thus, this is a public-key encryption algorithm with a public key of $\mathrm{KU}=\{\mathrm{e}, \mathrm{n}\}$, and a private key of $K R=\{d, n\}$. For this algorithm to be satisfactory for publickey encryption, the following requirements must be met:

## Description of the Algorithm (Cont 1)

1. It is possible to find values of e,d,n such that $M^{e d}=M \bmod n$ for all $\mathrm{M}<\mathrm{n}$
2. It is relatively easy to calculate $M^{e}$ and $C^{d}$ for all values of $\mathrm{M}<\mathrm{n}$
3. It is infeasible to determine d given e and d.

For now, we focus on the $1^{\text {st }}$ requirement and consider the other questions later. We need to find a relationship of the form

$$
M^{e d}=M \bmod n
$$

A corollary to Euler's theorem
(For every a and n that are relatively prime

$$
a^{\phi(n)} \equiv 1 \bmod n
$$

where $\varphi(n)$ is the Euler's totient function - number of positive integers less than $n$ and relatively prime to $n$ ),
fits the bill:
Given two prime numbers, p and q , and two integers, n and m , such that $\mathrm{n}=\mathrm{pq}$ and $0<\mathrm{m}<\mathrm{n}$, and arbitrary integer k , the following relationship holds:

$$
\begin{equation*}
m^{k \rho(n)+1}=m^{k(p-1)(q-1)+1} \equiv m \bmod n \tag{*}
\end{equation*}
$$

(as far as for $\mathrm{p}, \mathrm{q}$ prime, $\varphi(n)=(p-1)(q-1)$ )
Thus, we can achieve the desired relationship if $e d=k \varphi(n)+1$
This is equivalent to saying:

$$
e d \equiv 1 \bmod \varphi(n)
$$

$$
d \equiv e^{-1} \bmod \varphi(n)
$$

That is, e and d are multiplicative inverses $\bmod \varphi(n)$. Note that, according to the rules of modular arithmetic, this is true only if $d$ (and therefore e) is relatively prime to $\varphi(n)$. Equivalently, $\operatorname{gcd}(\varphi(n), d)=1$.
We are now ready to state the RSA scheme. The ingredients are the following:

$$
\begin{array}{cc}
\mathrm{p}, \mathrm{q}, \text { two prime numbers } & \begin{array}{c}
\text { (private, chosen) } \\
\mathrm{n}=\mathrm{pq} \\
\text { (public, calculated) }
\end{array} \\
\mathrm{e}, \operatorname{with} \operatorname{gcd}(\varphi(n), e)=1 ; 1<e<\varphi(n) & \text { (public, chosen) } \\
d \equiv e^{-1} \bmod \varphi(n) & \text { (private, calculated) }
\end{array}
$$

The private key consists of $\{\mathrm{d}, \mathrm{n}\}$, and the public key consists of $\{\mathrm{e}, \mathrm{n}\}$. Suppose that user A has published its public key and that user B wishes to send message M to A . Then B calculates $C=M^{e} \bmod n$ and transmits C . On receipt of this ciphertext, user A decrypts by calculating $M=C^{d} \bmod n$. It is worthwhile to summarize the justification for this algorithm. We have chosen e and d such that $d \equiv e^{-1} \bmod \varphi(n)$.

## Description of the Algorithm (Cont 2)

Therefore $e d \equiv 1 \bmod \varphi(n)$. Therefore, ed is of the form $k \varphi(n)+1$. But by the corollary to Euler's theorem (*), given two prime numbers, p and q, and integer $\mathrm{n}=\mathrm{pq}$ and M , with $0<\mathrm{M}<\mathrm{n}: M^{k \phi(n)+1}=M^{k(p-1)(q-1)+1} \equiv M \bmod n$ So, $M^{e d} \equiv M \bmod n$. Now
$C=M^{e} \bmod n$
Figure 9.5 summarizes
$M=C^{d} \bmod n \equiv\left(M^{e}\right)^{d} \bmod n \equiv M^{e d} \bmod n \equiv M \bmod n$
RSA algorithm:
Key Generation

| Select $p, q$ | $p$ and $q$ both prime, $p \quad q$ |
| :--- | :--- |
| Calculate $n=p \times q$ |  |
| Calculate $\phi(n)=(p-1)(q-1)$ | $\operatorname{gcd}(\phi(n), e)=1 ; 1<e<\phi(n)$ |
| Select integer $e$ | $d=e^{-1} \bmod \phi(n)$ |
| Calculate $d$ | $K U=\{e, n\}$ |
| Public key | $K R=\{d, n\}$ |
| Private key |  |

## Encryption

Plaintext: $\quad M<n$
Ciphertext:

$$
C=M^{e}(\bmod n)
$$

## Decryption

Ciphertext:
Plaintext:

C
$M=C^{d}(\bmod n)$

Figure 9.5 The RSA Algorithm

## Description of the Algorithm (Cont 3)

An example is shown in Figure 9.6


Figure 9.6 Example of RSA Algorithm
For this example, the keys were generated as follows:

1. Select two prime numbers, $\mathrm{p}=17, \mathrm{q}=11$
2. Calculate $\mathrm{n}=\mathrm{pq}=17 \times 11=187$
3. Calculate $\varphi(n)=(\mathrm{p}-1)(\mathrm{q}-1)=16 \times 10=160$
4. Select e such that e is relatively prime to $\varphi(n)=160$ and less than $\varphi(n)$; we choose $\mathrm{e}=7$.
5. Determine d such that $d e \equiv 1 \bmod 160$ and $\mathrm{d}<160$. The correct value is $\mathrm{d}=23$, because $23 \times 7=161=1 \times 160+1$; d can be calculated using the extended Euclid's algorithm:
$\mathrm{M}=160, \mathrm{~b}=7$
$\mathrm{A}=(1,0, \mathrm{~m}), \mathrm{B}=(0,1, \mathrm{~b})$
$\mathrm{Q}=\mathrm{A} 3 / \mathrm{B} 3=160 / 7=22$
$\mathrm{T}=\mathrm{A}-\mathrm{QB}=(1,-22,6)$
$\mathrm{A}=(0,1, \mathrm{~b}), \mathrm{B}=(1,-22,6)$
$\mathrm{Q}=\mathrm{A} 3 / \mathrm{B} 3=7 / 6=1$
$\mathrm{T}=(-1,23,1)$
$\mathrm{A}=(1,-22,6), \mathrm{B}=(-1,23,1)$
$\mathrm{B} 3=1=>\mathrm{b}^{-1}=\mathrm{B} 2=23$.
The resulting keys are public key $\mathrm{KU}=\{7,187\}$ and private key $\mathrm{KR}=\{23,187\}$. The example shows the use of these keys for a plaintext input of $\mathrm{M}=88$. For encryption, we need to calculate $\mathrm{C}=88^{7} \bmod 187$. Exploiting the properties of modular arithmetic, we can do this as follows:
$88^{7} \bmod 187=\left[\left(88^{4} \bmod 187\right) x\left(88^{2} \bmod 187\right) x(88 \bmod 187)\right] \bmod 187$
$88 \bmod 187=88$

## Description of the Algorithm (Cont 4)

$88^{2} \bmod 187=7744 \bmod 187=77$
$88^{4} \bmod 187=77^{2} \bmod 187=132$
$88^{7} \bmod 187=(88 \times 77 \times 132) \bmod 187=[((88 \times 77) \bmod 187) \times(132 \bmod$ $187)] \bmod 187=(44 \times 132) \bmod 187=5808 \bmod 187=11$
For decryption, we calculate $\mathrm{M}=11^{23} \bmod 187$ :
$11^{23} \bmod 187=\left[(11 \bmod 187) x\left(11^{2} \bmod 187\right) x\left(11^{4} \bmod 187\right) x\left(11^{8} \bmod \right.\right.$ 187)x
$\left.\left(11^{8} \bmod 187\right)\right] \bmod 187=\left[11 x 121 x 14641 \bmod 187 x\left(11^{8} \bmod 187\right) x\right.$
$\left.\left(11^{8} \bmod 187\right)\right] \bmod 187=\left[11 \times 121 \times 55 x\left(11^{8} \bmod 187\right) x\right.$
$\left.\left(11^{8} \bmod 187\right)\right] \bmod 187=[11 \times 121 \times 55 x(3025 \bmod 187) \mathrm{x}$
$(3025 \bmod 187)] \bmod 187=[11 \times 121 \times 55 x 33 \times 33$
] $\bmod 187=[((11 x 121) \bmod 187) x((55 x$ 33 $) \bmod 187) \times 33$
$] \bmod 187=[(1331 \bmod 187) x(1815 \bmod 187) \times 33] \bmod 187=$
[ $22 \times 132 \times 33] \bmod 187=[2904 \bmod 187 \times 33] \bmod 187=[99 \times 33] \bmod 187=$ $3267 \bmod 187=88$

## The Security of RSA

Three possible approaches to attacking the RSA algorithm are as follows: Brute force - use large keys
Mathematical attacks
Timing attacks

## Mathematical attacks

We can identify three approaches to attacking RSA mathematically:

- Factor n into two prime factors, this enables calculation of $\varphi(n)=(\mathrm{p}-$ 1) $(\mathrm{q}-1)$, which, in turn, enables determination of $\mathrm{d}=\mathrm{e}^{-1} \bmod \varphi(n)$.
- Determine $\varphi(n)$ directly, without first determining p and q .
- Determine d directly, without first determining $\varphi(n)$

Most discussions of cryptanalysis of RSA have focused on the task of factoring n into its two prime numbers. Determining $\varphi(n)$ given n is equivalent to factoring $n$. With presently known algorithms, determining d given e and n appears to at least as time consuming as the factoring problem. Hence, we can use factoring performance as a benchmark against which to evaluate the security of RSA.
Table 9.3 shows the progress in factoring performance:

# Mathematical attacks (Cont 1) 

Table 9.3 Progress in Factorization

| Number of Decimal <br> Digits | Approximate <br> Number of Bits | Date Achieved | MIPS-years | Algorithm |
| :---: | :---: | :---: | :---: | :---: |
| 100 | 332 | April 1991 | 7 | quadratic sieve |
| 110 | 365 | April 1992 | 75 | quadratic sieve |
| 120 | 398 | June 1993 | 830 | quadratic sieve |
| 129 | 428 | April 1994 | 5000 | quadratic sieve |
| 130 | 431 | April 1996 | 1000 | generalized number field sieve |
| 140 | 465 | February 1999 | 2000 | generalized number field sieve |
| 155 | 512 | August 1999 | 8000 | generalized number field sieve |

The level of effort is measured in MIPS-years: a million-instructions-per-second-processor running for 1 year, which is about 3 e13 instructions executed. A $1-\mathrm{GHz}$ Pentium is about a $250-\mathrm{MIPS}$ machine.
We see that progress in factoring is impressive, and for the near future, a key size in the range of 1024 to 2048 bits seems reasonable.

## Timing Attacks

A timing attack is somewhat analogous to a burglar guessing the combination of a safe by observing how long it takes for someone to turn the dial from number to number. In this case, time for exponentiation may be used for attacking.
There are simple counter-measures against timing attacks:

- constant exponentiation time - ensure that all exponentiations take the same time, but this will degrade performance
- Random delay - better performance could be achieved by adding a random delay to the exponentiation algorithm to confuse the timing attack
- Blinding - multiply the ciphertext by a random number before performing exponentiation. This process prevents the attacker from knowing what ciphertext bits are being processed inside the computer and therefore prevents the bit-by-bit analysis essential to the timing attack. RSA Data Security reports a 2 to $10 \%$ performance penalty for blinding.

